

REVIEW

Economic evaluation for protein and energy supplementation in adults: opportunities to strengthen the evidence

RK Milte¹, J Ratcliffe², MD Miller³ and M Crotty⁴

Malnutrition is a costly problem for health care systems internationally. Malnourished individuals require longer hospital stays and more intensive nursing care than adequately nourished individuals and have been estimated to cost an additional £7.3 billion in health care expenditures in the United Kingdom alone. However, treatments for malnutrition have rarely been considered from an economic perspective. The aim of this systematic review was to identify the cost effectiveness of using protein and energy supplementation as a widely used intervention to treat adults with and at risk of malnutrition. Papers were identified that included economic evaluations of protein or energy supplementation for the treatment or prevention of malnutrition in adults. While the variety of outcome measures reported for cost-effectiveness studies made synthesis of results challenging, cost-benefit studies indicated that the savings for the health system could be substantial due to reduced lengths of hospital stay and less intensive use of health services after discharge. In summary, the available economic evidence indicates that protein and energy supplementation in treatment or prevention of malnutrition provides an opportunity to improve patient wellbeing and lower health system costs.

European Journal of Clinical Nutrition advance online publication, 30 October 2013; doi:10.1038/ejcn.2013.206

Keywords: review; costs and cost analysis; enteral nutrition; malnutrition; oral nutritional supplementation

INTRODUCTION

Malnutrition is a costly problem for health care systems internationally.¹ In Australia, the additional cost of malnutrition to the Victorian public health system has been recently estimated as \$10.7 million per year with the authors noting that this is likely to greatly underestimate the true costs.² In the UK, the annual cost to the health system has been estimated at more than £7.3 billion, mostly due to increased costs of hospital and long-term care.¹ It has been identified that up to 55% of hospital patients at any one point in time are malnourished.^{3–5} In addition, up to 50% of residential care and 30% of community living elderly have been found to be malnourished.^{3,6–8} The consequences of malnutrition upon an individual's health are severe and impact negatively upon health care expenditure through increases in the frequency and duration of hospital episodes, and increased intensity of health and community service utilization following discharge from hospital.^{9–13}

Containment of increasing health care expenditures is a global phenomenon and increasingly economic evaluation is being utilised as a tool for demonstrating the efficiency or value for money of health care expenditures. In a world of increasing resource constraints for health care expenditures, demonstrating not only the clinical effectiveness but also the cost effectiveness of nutrition interventions for the treatment of malnutrition in adult populations in hospital, residential and community settings is becoming a key evidential requirement for health care decision makers. Whilst previous reviews⁹ have highlighted the clinical effectiveness of interventions for the treatment of malnutrition, no review to date has systematically sought to identify and report upon the quality of the economic evaluation methods used in published studies of treatments for malnutrition.

Previous studies have identified the most common treatments for malnutrition are strategies to increase energy and protein intake via the normal oral route, such as enriched diets, high energy and protein snacks and oral nutrition supplements.¹⁴ Therefore, our primary aim was to undertake a systematic review to identify economic evaluation studies of protein and energy supplementation for the treatment of people with or at risk of malnutrition. A secondary aim was to provide an overview of the quality of the economic evidence available on this topic.

METHODS

Defining and categorising economic evaluation

Economic evaluation may be defined as the comparative analysis of alternative courses of action in terms of both their costs and consequences.¹⁵ Therefore, the fundamental requirements of any economic evaluation are to identify, measure, value and compare the costs and consequences of the alternatives being considered. There are five generally accepted forms of economic evaluation for health care interventions, which are described in Table 1.^{16,17} Briefly, they are cost-minimisation analysis, cost-benefit analysis, cost-consequence analysis, cost-effectiveness analysis and cost-utility analysis. It is appropriate to conduct a cost-minimisation analysis of a health care intervention only where there is sound evidence (e.g. through the findings of a well-conducted randomised controlled trial) to indicate that there is no difference in outcomes for both effectiveness and safety between the intervention under consideration and the most appropriate alternative intervention.¹⁸ Within cost-benefit analysis both costs and benefits are measured and valued in monetary terms to determine the net benefit of the new intervention, for example, as a consequence of reductions in future health care costs due to decreases in morbidity and/or mortality. On the other hand, cost-consequence, cost-effectiveness and cost-utility

¹Department of Nutrition and Dietetics, School of Medicine, Flinders University, Adelaide, South Australia, Australia; ²Flinders Health Economics Group, Flinders University, Adelaide, South Australia, Australia; ³Department of Nutrition and Dietetics, School of Medicine, Flinders University, Adelaide, South Australia, Australia and ⁴Department of Rehabilitation, Aged, and Extended Care, Flinders University, Adelaide, SA, Australia. Correspondence: Professor J Ratcliffe, Flinders Health Economics Group, Flinders University, GPO Box 2100, Adelaide, South Australia 5001, Australia.

E-mail: Julie.ratcliffe@flinders.edu.au

Received 4 March 2013; revised 1 August 2013; accepted 21 August 2013

Table 1. Types of Economic Evaluation

Type of Evaluation	Abbreviation	Aim	Variables	Outcomes	Example
Cost-utility analysis	CUA	Compares the costs associated with an intervention with a measure of utility which combines the life years gained by an intervention with a measure of the quality of those life years	Resource costs Measure of utility (e.g. Quality Adjusted Life Year (QALY))	Ratio of cost per QALY gained	Cost per QALY for a fish oil intervention which reduces joint pain in patients with arthritis.
Cost-effectiveness analysis	CEA	Compares the costs associated with an intervention with a measure of clinical effectiveness	Resource costs Measure of clinical effectiveness	Cost per unit of clinical effectiveness	Cost of a unit reduction in blood cholesterol levels for a nutrition education intervention
Cost-consequence analysis	CCA	Compares the costs associated with an intervention with the consequences neither without combining these inputs nor without indicating the relative importance of the consequences.	Resource costs Consequences	List of costs List of possible outcomes Up to the reader to make judgements about the benefits and drawbacks of the intervention	Cost of providing a nutrition-education intervention, and a reported reduction in blood cholesterol levels in an intervention group, but without combining these outcomes into a ratio.
Cost-benefit analysis	CBA	Compares the benefits of the intervention in monetary terms with the costs of the intervention	Resource Costs Benefits of the intervention in money	Net benefit of the intervention expressed in monetary terms	Commonly used for when a new treatment might involve an initial expenditure for treatment, but overall results in savings over time through reduce healthcare utilization.
Cost-minimisation analysis	CMA	Determine the least costly intervention where outcomes for two interventions are assumed to be equal	Resource costs	Difference in resource costs between two interventions	Measure the costs of providing hospital in the home program when the outcomes in morbidity, function, quality of life have been shown to be the same for as for inpatient care.

analysis (CUA) all compare the benefits of interventions through a focus upon changes in clinical and/or patient focused outcomes. A cost-effectiveness analysis involves a direct comparison of the costs associated with an intervention with a single measure of effectiveness, which is usually clinically or bi-medically focused. This allows the calculation of an incremental cost-effectiveness ratio (ICER) where the additional costs of the treatment are divided by additional benefits of providing the treatment, for example, cost per one unit improvement in blood cholesterol levels. Cost-consequence analysis is a form of economic evaluation where the incremental costs associated with the new intervention are calculated and a series of outcomes or consequences are presented but the costs and outcomes are not presented together in the form of a ratio. CUA is a particular form of cost-effectiveness analysis which warrants special consideration as it is explicitly the preferred method of economic evaluation for many health regulatory bodies in Australia (Pharmaceutical Benefits Advisory Committee (PBAC)), United Kingdom (National Institute for Health and Clinical Excellence) and many other bodies around the world.^{18,19} Within CUA, benefits are measured and valued using 'utility', where this reflects preference for a particular health state.²⁰ Once measured, the utility of a particular health state or series of health states can be combined with the quantity or number of life years a person spends in the health state to give an indicator of the Quality Adjusted Life Years (QALY) attributable to an intervention and ultimately a ICER of cost per QALY gained. There are many ways of measuring utility, but a commonly utilised method is through the use of a multi-attribute utility instrument (MAUI).²¹ A MAUI is a validated instrument that provides both a framework to describe health states for valuation and can have a developed algorithm to convert those health states into utility weights or values which indicate the preference of the population for those health states. Generally, a value of one is assigned for a health state representing perfect health, zero for death, with other health states falling on a continuum between these two points. Negative values indicating a health state perceived as worse than death can be possible. It is these utility values which can be combined with the length of time a person spends in a health state to determine QALY. There are a number of MAUI which have been developed in different populations, but some of the most common include EQ-5D, Short Form 6D, Health Utilities Indexes and Quality of Well-Being.¹⁵ The scales have different advantages and disadvantages depending on the attributes of health included in the scale and the number of levels of ability or impairment for each of the attributes which need to be appropriately matched to the population being studied and the expected impact of the intervention.²¹ However, the advantage the MAUI share in measuring utility is that they cover not only the expected effects of the intervention on mobility or pain, for example, but also the flow on effects to independence and the ability to carry out your usual role within society. MAUI therefore have the opportunity to track the effects of interventions more broadly than through traditional clinical outcomes and allow comparisons of interventions targeting different outcomes, for

example, providing medications for asthma compared to controlling hyperlipidaemia. This flexibility in application and interpretation has led to CUA using MAUIs being the most preferred method of economic evaluation. Many regulatory bodies for health have a threshold (either explicit or not) for the cost per QALY ICER below which interventions are likely to be considered cost effective, such as the National Institute for Health and Clinical Excellence which recommends cost per QALY ICER's below £20 000.¹⁹

Search strategy

A search strategy was developed largely replicating that published by Milne *et al.*⁹ in their review of protein and energy supplementation for treatment of malnutrition in older adults, but with additional search terms to identify studies, including economic evaluation (see Supplementary Appendix 1 in Supplementary Information). While the review published by Milne *et al.*⁹ originally dealt with only older adults (average age 65 years and above), due to the paucity of economic evidence we widened our search strategy to include all adults (18 years and above), thereby facilitating a broader analysis of the quality of the economic literature. Inclusion criteria are as follows. We included hospitalised, residential and aged care and community dwelling populations. We focused specifically upon economic evaluation studies reported either as stand-alone papers or as components of papers which also included a broader focus upon clinical effectiveness. Interventions of interest were those aiming to increase the energy and protein levels of individuals via oral administration. Interventions which included a mix of interventions such as nutrition screening and assessment, dietary advice, and feeding assistance in addition to protein and energy supplementation were included. Types of studies included were any comparative study, including randomised controlled trials and non-randomised controlled trials. Studies employing economic modelling methods were also included. Exclusion criteria included trials purely based on patients in critical care or recovering from cancer treatment as these patients typically have highly specialised nutritional needs. In addition, trials of specialised nutrition components such as specific amino acids or immunomodulatory components were excluded due to differences in the effect and cost data for these products. Relevant comparators included 'usual practice' (i.e., *ad hoc* dietary care or a different nutritional supplement with different energy and protein content) or a 'placebo' (such as a low energy drink).

Databases searched included Cochrane register of Controlled Trials (until December 2012), Medline (from 1946 until December 2012), Scopus (until December 2012), Web of Knowledge (until December 2012), CINAHL (until December 2012) and Australasian Medical Index (until December 2012).

In addition, any reviews of the topic that were identified through the above methods were checked for additional studies that had not been previously identified. Reference lists of identified articles or reviews of

protein and energy supplementation or evaluation of nutrition therapy were also checked for additional references.

Data collection and analysis

Two reviewers independently identified studies from the search results for further analysis by scanning the title, abstract and key words of the studies for evidence that they compared a protein and energy supplemented diet with no intervention, a placebo, or an alternative supplement and involved adult participants. If there was any doubt about the eligibility of the article, it was also retrieved for further investigation.

All information was extracted independently by the two reviewers. All differences in extraction were clarified with a third reviewer by going back to the original article. Information extracted included: study design, participants, intervention, sample size, follow up period, results, sensitivity analysis (which measures the variability around the base-case results) and discounting of future costs and benefits (where applicable).¹⁵ The quality of the economic evaluations in the articles was assessed using the 35-point checklist developed by Drummond and colleagues for quality submission of economic evaluations to journals.¹⁵ These criteria assess the quality of the economic evaluation in terms of study design, data collection, analysis and interpretation of results and allow assessment of economic evaluations based on single trial data and combinations of data into economic models. Similar to the previous review, we did not exclude studies based on the nutritional status of the participants, but identified studies were categorised into one of two groups according to whether they had targeted malnourished patients only (according to the criteria within the paper) or did not specify the nutritional status of their participants for entry to the study for ease of interpretation and reporting of results.

RESULTS

Description of studies

2 750 titles were identified through the search (Figure 1). Of those titles, the vast majority could be excluded via reading the titles or the abstract (2 632 out of the 2 750), as their focus was not health care but agricultural practices or animal health or manufacturing of food or did not include an intervention to increase dietary energy or protein. A total of 118 papers had the full text of the title accessed and of those a further 100 were excluded due to lack of an intervention to increase energy and protein intake via the normal oral route (e.g., included parental nutrition or naso-gastric, naso-enteric or percutaneous endoscopic gastrostomy (PEG) feeding ($n = 15$), did not include economic outcomes ($n = 32$), did not include a dietary intervention to increase energy or protein ($n = 47$) or were testing supplementation of immunomodulatory components within a protein and energy supplement ($n = 6$)). Two papers were protocols for studies not yet published and were therefore excluded. This left 16 papers focused upon economic evaluation which were included in the review.

Results of studies where participants were defined as malnourished

Six studies targeted malnourished patients using a variety of identification methods (e.g. Subjective Global Assessment, Mini Nutritional Assessment, BMI, history of unplanned weight loss), listed in Table 2. Of those studies, three were cost-utility studies,^{22–24} with the remaining studies being cost-benefit analyses^{25,26} and a cost-consequence analysis, respectively.²⁷ The cost-utility studies^{22–24} and the cost-consequence analysis²⁷ were based on the results of randomised controlled trials both with sample sizes of 100 participants or more while the cost-benefit analyses^{25,26} were based on modelled data. All of the studies utilized oral nutritional supplements (ONS) as their intervention, although Norman *et al.*²³ also provided dietary counselling to their intervention and control groups. The participants were from different clinical groups with two studies focusing on patients with gastrointestinal disease,^{23,26} two with older adults admitted to hospital,^{22,27} one with older adults in

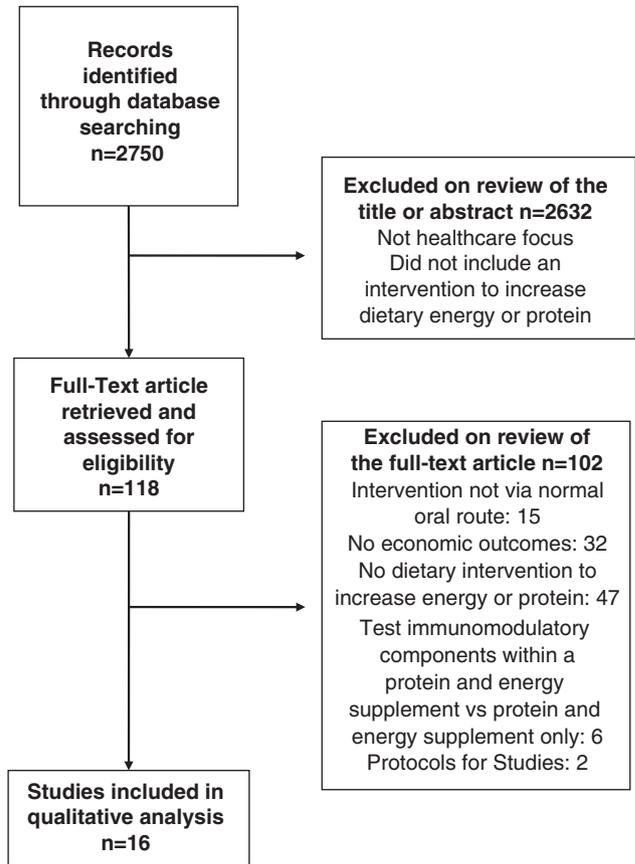


Figure 1. Flow diagram of study selection process.

residential care facilities,²⁴ and one in community dwelling older adults.²⁵ The studies also differed in the costs they included in their analysis. Norman *et al.*²³ only included the incremental cost of the intervention in their analysis, excluding any wider effect on the health system, while most other studies took a wider view point including costs of medical treatment and social care in the community.^{22,25,27} There was a great variety in outcomes measured as listed in Table 2. The cost-utility analysis by Norman *et al.*²³ found that providing 3 months of ONS to malnourished patients with benign gastrointestinal disease was associated with between €9497–12099 per quality-adjusted life year (QALY) gained. Although in Australia no explicit guideline for determining the cost effectiveness of new healthcare technologies has been provided, the Pharmaceutical Advisory Committee appears to consider interventions with cost per QALY below \$50 000 as cost effective, and this intervention is well within this threshold indicating relatively high cost effectiveness.²⁸ Neelemat *et al.*²² neared the cost-effectiveness threshold in their CUA providing ONS to older people admitted to hospital as well as routine vitamin D and calcium supplementation and telephone support from a dietitian upon discharge. The results indicated a cost per QALY gain of €26962 for the intervention group compared to the controls. Cost-benefit studies conducted by Freijer *et al.* in The Netherlands indicated cost savings of over €200 per patient in abdominal surgery patients receiving two cartons of ONS per day during their hospitalisation through a reduced length of stay,²⁶ and reported total budget savings of over €12 million for the provision of ONS for treatment of malnutrition in community dwelling older people.²⁵ Pham *et al.*²⁴ found provision of ONS for the treatment of pressure ulcers in malnourished patients of residential care facilities was not cost effective in isolation, but argued that nutrition may play a wider role in supporting other

Table 2. Design and cost outcomes of included studies when participants defined as malnourished

Citation	Design	Intervention	Population	n Subjects	Follow Up	Method	Sensitivity Analysis	Discounting	Unit	Cost per unit	Cost Intervention	Cost Comparison
Neelemaat <i>et al.</i> ²²	RCT	ONS (2.520 kJ and 24 g protein) and malnutrition treatment protocol	Hospitalised older adults (Malnourished according to BMI or weight loss)	210	3 months	CUA	Yes	N/A	Additional QALY	€26 962 \$US33 703	€9 129 (1 227) ^{a,b} \$US11 411 (1 534)	€8 684 (1 361) ^{b,c} \$US10 855 (1 701)
Norman <i>et al.</i> ²³	RCT	ONS (2.505 kJ and 23 g protein)	Benign GI disease (Malnourished according to SGA)	120 I=60 C=54 N/A	3 months	CUA	Yes	N/A	Additional QALY	€9 497–12 099 \$US11 904–15 164	€561 (514–609) ^{c,d} \$US703 (644–763)	€22 (0–79) ^{c,d} \$US28 (0–92)
Pham <i>et al.</i> ²⁴	Model	ONS (1 carton per day, 8.4 kJ/ml formula)	Residential Care (Malnourished according to weight loss)	N/A	3.8 years	CUA	Yes	Yes	Additional QALY	SCAN7 824 747 \$US74 306 502	—	—
Freijer <i>et al.</i> ²⁵	Model	ONS (2 cartons per day, NFS)	Community dwelling older people	720 223	1 year	CBA	Yes	N/A	Total budget savings	€12 986 000 \$US16 232 500	€262 657 000 ^e \$US328 321 250	€275 643 000 ^e \$US344 553 750
Freijer <i>et al.</i> ²⁶	Model	ONS (2 cartons per day, NFS)	Abdominal surgery	N/A	Per admission	CBA	Yes	N/A	Mean cost of hospitalisation	— \$US316	—	—
Edington <i>et al.</i> ²⁷	RCT	ONS from hospital (2.500–4.200 kJ)	Recently hospitalised older adults (Malnourished according to BMI or weight loss)	100 I=51 C=49	6 months	CCA	No	N/A	—	—	£2 989 (4 418) ^{b,f} \$US4 752 (7 024)	£2 146 (2 238) ^{b,f} \$US3 412 (3 558)

Abbreviations: BMI = body mass index; C = control; , 95% CI, 95% confidence interval; GI = gastrointestinal; I = intervention; N/A = not applicable; NFS = not further specified; ONS = oral nutritional supplements; QALY = quality-adjusted life year; RCT = randomised controlled trial; SGA = subjective global assessment. ^aStandard error. ^bCosts included for providing medical treatment and social services only. ^cCosts included for providing intervention only. ^d95% CI. ^eCosts included for medical treatment and social services related to treatment of DRM. ^fStandard deviations.

prevention strategies beyond the scope of the economic model developed for their analysis. The remaining study was conducted in a community dwelling sample of older people over a 6–12 month follow-up period and failed to demonstrate any cost savings for an 8-week intervention in a population of elderly and already malnourished subjects.²⁷ In summary, therefore although the available economic evidence is scant, the studies which have been undertaken to date do demonstrate the potential for protein and energy supplementation in patients identified as malnourished to provide cost savings to the health system in addition to improved health outcomes for patients.

Results of studies where nutritional status not specified

Table 3 presents the results of studies including an intervention to improve nutritional status in a group of participants where their nutritional status was not specified.^{29–38} Although relatively more studies were identified in this category, the studies were very diverse in terms of setting, interventions and outcomes measured, making any direct comparisons across studies very difficult. In terms of study design, a range of designs were employed including randomised designs,^{29–31,35} a number of non or quasi-randomised designs^{32,33,36,37} and modelled studies.^{34,38} Although sample size varied from less than 100 to over 2 000, half of the studies included between 100 and 300 participants. Of the identified studies only one utilized a cost-utility approach.²⁹ This study assessed a multidisciplinary intervention including exercise and smoking cessation counselling in addition to ONS in community dwelling adults with chronic obstructive pulmonary disease and was found to be near the cost-effectiveness threshold at AUD\$39 438 per QALY gained (Table 3). Four of the studies utilized a cost-effectiveness analysis and reported upon a diverse range of outcome indicators, including cost per one day reduction in length of stay, cost per kilocalorie consumed or cost per kg of weight gained.^{30–33} Findings ranged from cost of US\$0.01 per kilocalorie additional consumed to cost of €76.10 per one day reduction in length of stay. Although Dangour *et al.*³⁰ found an ICER of US\$4.84 per additional meter walked by their intervention group in a timed walking test, they only included the costs for the physical activity intervention not the nutrition intervention in their estimates, which could lead to an underestimate. All of these included ONS, aiming to provide between 1 068 kJ and 10 g protein and to 2 500 kJ and 28 g protein additional per day. Other interventions utilized included mid-meal snacks or fortified foods and five studies included a multifaceted intervention (two of which included an exercise or multidisciplinary intervention, and three which included routine early screening for nutritional status and issues). The studies also focused on different clinical groups such as patients from residential care homes,^{31,37} patients with COPD discharged to the community,²⁹ community dwelling older adults³⁰ and a large number focusing on patients from various hospital wards.^{32–36,38} Follow-up period was similarly varied across the studies ranging from the duration of hospital stay to a two-year period, with the greatest proportion of studies (five out of ten) centred on the period of hospitalisation. In addition, the costs included in the analysis varied from the incremental costs of providing the intervention only^{30–32} compared with wider viewpoints including the costs of providing the intervention and medical treatment over the follow-up time period.^{29,33–37} One study focused on the changes in hospitalisation costs only.³⁸ Overall, while the heterogeneity of the studies makes synthesis of the outcomes difficult, they have generally indicated beneficial outcomes for the patient or health system, at a relatively low cost.

Quality of studies

Overall, when assessing the quality of the published studies, according to the widely recognised Drummond criteria the quality ranges greatly between studies (Figure 2). Studies were of varying

Table 3. Design and cost outcomes of included studies where nutritional status not specified

Citation	Design	Intervention	Population	n Subjects	Follow Up	Method	Sensitivity Analysis	Discount	Unit	Cost per Unit	Cost Intervention	Cost Comparison
Hoogendoorn <i>et al.</i> ²⁹	RCT	ONS 4/12 (2,351 kJ and 28 g protein) plus multi-disciplinary intervention	COPD	199 I = 102 C = 97	2 years	CUA	Yes	No	Additional QALY	€32,425 \$US40,400	€13,565 ^a \$US16,901	€10,814 ^b \$US13,474
Dangour <i>et al.</i> ³⁰	Randomised factorial trial	ONS (1 068 kJ and 10 g protein) and/or physical activity	Community-dwelling older adults	1 669 ONS = 414, ONS + PA = 452, PA = 403 C ^a = 400	2 years	CEA	Yes	Yes	Additional meter walked in 6 minute walking test	\$US4,84 ^b	Nutrition intervention \$US91 ^c	—
Simmons <i>et al.</i> ³¹	RCT	Snacks or ONS (NFS)	Residential Care	63 ONS = 18 Snacks = 24 C = 19	6 weeks	CEA	Yes	N/A	Additional kcal consumed	\$US0.01	ONS \$US2.13 (0.37) ^{c,d}	—
Kruizenga <i>et al.</i> ³²	Historical controlled trial	Malnutrition treatment protocol including high energy and protein meals (2 500 kJ and 12 g protein additional)	Mixed ward patients	588 I = 297 (HEHP = 98) C ^a = 291	Per admission	CEA	Yes	N/A	Mean cost per 1 days reduction in LOS (96%CI)	€35 (-1,239-109) \$US44 (-1,544-136)	€37 (15-58) ^e \$US46 (19-73)	—
Rypkema <i>et al.</i> ³³	Quasi-randomised controlled trial	Malnutrition protocol including treatment with high energy diet or ONS (NFS)	Geriatric ward patients	298 I = 140 C = 158	Per admission	CEA	Yes	N/A	Kg gained	€7 516 ^f -\$US489	€7 908 ^f \$US9 854	—
Russell ³⁴	Model	ONS (NFS)	Surgical patients	N/A	Per admission	CBA	N/A	N/A	Mean difference in cost of hospitalisation intervention vs control	-£849 -\$US1 340	—	—
Smedley <i>et al.</i> ³⁵	RCT	ONS (6.3 kJ and 0.05 g protein per ml drink ad libitum) before and after surgery (SS group) vs ONS before (SC group) vs ONS after (CS group) vs control (CC group)	Surgical patients	152 CC = 44 SS = 32 CS = 35 SC = 41	Up to 96 days	CBA	Yes	N/A	Mean difference in cost of hospitalisation intervention vs control	-£300 -\$US473	£2 289 (2034-2 717) ^{d,f} \$US3 612 (3 585-5 019)	£2 618 (2 272-3 181) ^{d,f} \$US4 131 (3 585-5 019)
Lawson <i>et al.</i> ³⁶	Prospective controlled trial	ONS (2 500 kJ and 20 g protein)	Emergency and elective orthopaedic surgery	181 I = 84 C = 97	Per admission	CBA	No	N/A	Mean difference in cost of hospitalisation intervention vs control	-£16 -\$US25	£2 069 ^f \$US3 264	\$US3 470
Lorefält <i>et al.</i> ³⁷	Non-randomised controlled trial	Malnutrition protocol including high energy high protein meal options (NFS) for 3 months	Residential Care	109 I = 42 C = 37	1 year	CCA	No	N/A	—	—	€1 005 ^f \$US1 253	6921 ^f \$US1 148
Tucker and Miguel ³⁸	Model	ONS (NFS)	Hospital patients	2485	Per admission	CCA	N/A	N/A	Mean difference in cost of hospitalisation per year intervention vs control	-\$US8294	—	—

Abbreviations: C = Control, COPD = Chronic obstructive pulmonary disease, GI = Gastrointestinal, HEHP = High energy high protein diet, I = Intervention, LOS = Length of stay, N/A = Not applicable, NFS = Not further specified, ONS = Oral nutritional supplements, PA = Physical activity, QALY = Quality adjusted life year. ^aCosts included for providing intervention plus medical treatment and loss of income for participant ^bCosts included for providing physical activity intervention only. ^cCosts included for providing intervention only. ^dStandard deviations. ^e95% Confidence intervals. ^fCosts included for providing intervention and medical treatment.

quality, with the number of 'yes' responses to the criteria ranging from a minimum of three to a maximum of 27. Generally, the studies scored well on question 1 ('the research question is stated'), 5 ('the alternatives being compared are clearly described'), 22 ('time horizon of costs and benefits is stated') and 32 ('conclusions follow from the data reported'). Questions completed less well included 14 ('productivity changes if included are reported separately'), 15 ('the relevance of productivity changes to the study question is discussed'), 23 ('the discount rate is stated') and 24 ('the choice of rate is specified').

The paper which had the highest number of 'yes' responses to the criteria ($n = 28$) was Pham *et al.*,²⁴ a recently published CUA of ONS in residential care patients closely followed by Norman *et al.*²³ ($n = 27$) a cost-utility study of ONS in malnourished patients with benign disease. This study found that ONS was cost

effective. In general, it was found that the more recently published Cost-Utility^{22,23,29} and Cost-Effectiveness studies^{30–33} were of a higher quality than older published studies in terms of their adherence to the Drummond criteria. A few studies included only a partial report of healthcare costs such as general practitioner or health service visits.^{27,37,38} However, these studies fail to provide a direct comparison between the costs and benefits provided by the interventions, and they therefore fail to take into consideration the value for money of the interventions from an economic perspective.³⁹

DISCUSSION

In a comprehensive review of the published literature, sixteen papers were identified which included analysis of providing protein and energy supplementation for prevention or treatment of malnutrition from an economic view point. Of these, only four studies^{22–24,29} utilised cost-utility analysis, which is currently recommended as the preferred method of economic evaluation for new health care interventions by the Pharmaceutical Benefits Advisory Committee and Medical Services Advisory Committee in Australia, and the National Institute for Health and Clinical Excellence in the UK as well as many other regulatory bodies around the world.^{18–19}

Two of the cost-utility studies identified by the review concluded that the interventions under consideration (ONS for 3 months in patients with benign gastrointestinal disease who were

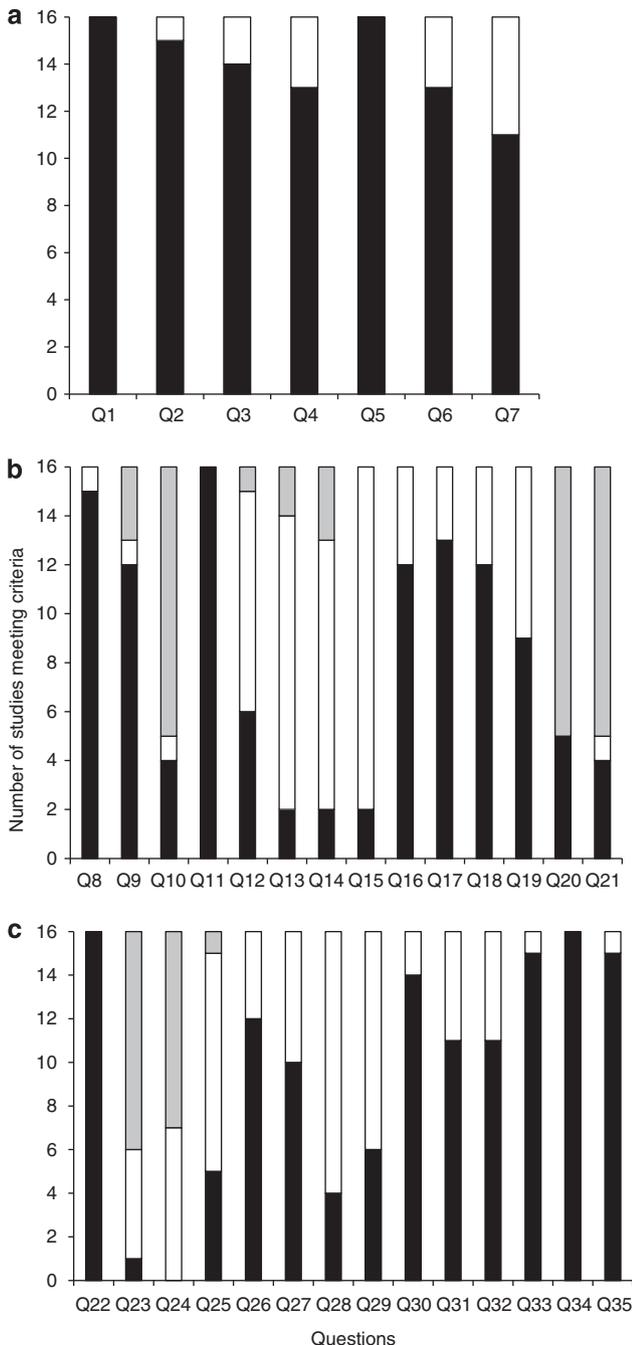


Figure 2. Results of the quality analysis of the study designs. Bars indicate the number of studies for which the quality criteria was met (black bar), not met (white bar) or not applicable for this study (grey bar). Quality criteria taken from the 35 item checklist by Drummond *et al.*¹⁴ Quality criteria divided into items referring to study design (a), data collection (b) and analysis and interpretation of the results (c). Criteria questions are as follows: Q1, the research question is stated; Q2, the economic importance of the research is stated; Q3, the viewpoint(s) of the analysis are clearly stated and justified; Q4, the rationale for choosing the alternative programmes or interventions compared is stated; Q5, the alternatives being compared are clearly described; Q6, the form of economic evaluation used is stated; Q7, the choice of form of economic evaluation is justified in relation to the questions addressed; Q8, the source(s) of effectiveness estimates used are stated; Q9, details of the design and results of the effectiveness study are given (if based on a single study); Q10, details of the method of synthesis or meta-analysis of estimates are given (if based on an overview of a number of effectiveness studies); Q11, the primary outcome measure(s) for the economic evaluation are clearly stated; Q12, methods to value health states and other benefits are stated; Q13, details of the subjects from whom valuations were obtained are given; Q14, productivity changes (if included) are reported separately; Q15, the relevance of productivity changes to the study question is discussed; Q16, quantities of resources are reported separately from their unit costs; Q17, methods for the estimation of quantities and unit costs are described; Q18, currency and price data are recorded; Q19, details of currency of price adjustments for inflation or currency conversion are given; Q20, details of any model used are given; Q21, the choice of model used and the key parameters on which it is based are justified; Q22, time horizon of costs and benefits is stated; Q23, the discount rate(s) is stated; Q24, the discount rate(s) is justified; Q25, an explanation is given if costs or benefits are not discounted; Q26, details of statistical tests and confidence intervals are given for stochastic data; Q27, the approach to sensitivity analysis is given; Q28, the choice of variables for sensitivity analysis is justified; Q29, the ranges over which the variables are varied are stated; Q30, relevant alternatives are compared; Q31 incremental analysis is reported; Q32, major outcomes are presented in a disaggregated as well as aggregated form; Q33, the answer to the study question is given; Q34, conclusions follow the form of the data reported; Q35, conclusions are accompanied by the appropriate caveats.

also malnourished and ONS for 2 years in adults with chronic obstructive pulmonary disease) were cost effective.^{23,29} In both studies, the incremental cost per QALY ratios were below threshold values for determining cost effectiveness.²⁸ In another CUA, Neelemaat *et al.* 2012²² neared the cost-effectiveness threshold for their intervention of ONS in malnourished hospitalised older adults, while Pham *et al.*²⁴ did not show cost effectiveness in prevention of pressure ulcers in malnourished older people living in residential care facilities.

The studies identified in this review indicated an incremental cost of between –€392.00 to 478.20 (-AUD\$488.67–\$596.12) for health outcomes such as a reduction in one day length of stay, additional metre walked, additional calories ingested or per kg of weight gained.^{30–38} However, while these indicators appear broadly favourable, it is difficult to synthesise these outcomes due to their heterogeneous nature.⁴⁰ The utilization of the QALY, a generic measure of health outcome, for application within CUA can be helpful in this regard in demonstrating the 'value for money' of nutrition therapy in a world of competition for scarce health budget resources.⁴⁰ The paucity of economic evidence has also been proposed as the main reason for the failure for uptake of national and international evidence-based guidelines in the clinical setting.⁴⁰ Within this context, the lack of economic evaluations of protein and energy supplementation for malnutrition treatment coupled with the lack of utility-based outcomes for facilitating comparison across interventions and disease areas for decision making is therefore a serious concern.

In addition, there were a small number of published studies targeted at the economic benefits of protein and energy supplementation to treat malnutrition in the older adult. However, this target group has received more attention recently, with three cost-utility studies having been published recently within the last two years targeting the effectiveness of providing ONS to malnourished older people.^{22,24,25} Of three cost-effectiveness studies identified that targeted older participants, one failed to include the cost of the nutrition therapy itself in their estimation of cost effectiveness (which involved a physical function measure).³⁰ However, it is encouraging to see that there have been two randomised controlled trial protocols published since 2008 which include economic evaluation in their proposed evaluation of research into energy and protein supplementation as a treatment for or to prevent malnutrition.^{41,42} These two studies are all focused on older adults and the study protocols all include consideration of costs of the intervention and associated health care utilisation (including costs of the nutrition intervention, specialist staff, hospital costs, community services and medications) as well as non-medical costs (such as absenteeism and unpaid help) and health outcomes as such as QALYs and functional status.

Many identified studies have a short follow-up time of one year or less. This presents a challenge for clinicians aiming to demonstrate the benefits of nutrition support, as the short follow-up time may not be long enough to allow the benefits to become apparent. When one study in community living elderly over a 6–12 month follow-up period did not show cost savings in the intervention group compared to the control group, the authors hypothesised that their 8-week intervention was not sufficient to show improvement in their elderly and already malnourished population.²⁷ Also, the results of economic evaluations should be reported as an ICER wherever possible. An ICER is important as it provides the decisionmaker with the opportunity to determine the potential additional cost of a new health care intervention in order to achieve a given outcome. The use of a generic measure of health outcome such as the QALY in this context has the added advantage of facilitating comparisons of value for money across the health care system, for example, comparing investment in nutrition interventions for malnutrition in older people versus pharmacological treatments for dementia

In conclusion, to date, few economic evaluations of protein and energy supplementation for treatment or prevention of malnutrition have been published and the quality of published studies is highly variable. However, the available economic evidence suggests that providing ONS of between 1068 kJ and 10 g protein up to 4200 kJ and 23 g protein is associated with positive economic benefits in both patients with malnutrition and in studies where nutritional status was not specified and over short follow-up times. Use of protein and energy supplementation in those with or at risk of malnutrition presents an opportunity for health services to reduce hospitalisation costs for a relatively small additional investment. In the absence of comprehensive economic evidence relating to its cost effectiveness, nutrition therapy is in danger of falling by the wayside in this new era of competitive health care funding. Future research should focus on the inclusion of high-quality comprehensive economic evaluations alongside studies of clinical effectiveness to demonstrate the cost effectiveness of nutrition interventions for the treatment of malnutrition.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

REFERENCES

- 1 Elia M, Stratton R, Russell C, Green C, Pan F. The cost of disease-related malnutrition in the UK and economic considerations for the use of oral nutritional supplements (ONS) in adults 2005. Available at http://www.bapen.org.uk/pdfs/health_econ_exec_sum.pdf. Accessed 11 January 2012.
- 2 Rowell DS, Jackson TJ. Additional costs of inpatient malnutrition, Victoria, Australia, 2003–2004. *Eur J Health Econ* 2011; **12**: 353–361.
- 3 Watterson C, Fraser A, Banks M, Isenring E, Miller M, Silvester C *et al.* Evidence based practice guidelines for the nutritional management of malnutrition in adult patients across the continuum of care. *Nutr Diet* 2009; **66**: S1–S34.
- 4 Braunschweig CA. Creating a clinical nutrition registry: prospects, problems, and preliminary results. *J Am Diet Assoc* 1999; **99**: 467–470.
- 5 O'Flynn J, Peake H, Hickson M, Foster D, Frost G. The prevalence of malnutrition in hospitals can be reduced: results from three consecutive cross-sectional studies. *Clin Nutr* 2005; **24**: 1078–1088.
- 6 Fagerström C, Palmqvist R, Carlsson J, Hellström Y. Malnutrition and cognitive impairment among people 60 years of age and above living in regular housing and in special housing in Sweden: a population-based cohort study. *Int J Nurs Stud* 2011; **48**: 863–871.
- 7 Stratton RJ, Green CJ, Elia M. *Disease-Related Malnutrition: An Evidence-Based Approach to Treatment*. CABI Publishing: Wallingford, UK, 2003.
- 8 Pauly L, Stehle P, Volkert D. Nutritional situation of elderly nursing home residents. *Z Gerontol Geriatr* 2007; **40**: 3–12.
- 9 Milne AC, Potter J, Vivanti A, Avenell A. Protein and energy supplementation in elderly people at risk from malnutrition. *Cochrane Database Syst Rev* 2009; (2): CD003288.
- 10 Messner RL, Stephens N, Wheeler WE, Hawes MC. Effect of admission nutritional status on length of hospital stay. *Gastroenterol Nurs* 1991; **13**: 202–205.
- 11 Baumeister SE, Fischer B, Döring A, Koenig W, Zierer A, John J *et al.* The Geriatric Nutritional Risk Index predicts increased healthcare costs and hospitalization in a cohort of community-dwelling older adults: results from the MONICA/KORA Augsburg cohort study, 1994–2005. *Nutrition* 2011; **27**: 534–542.
- 12 European Nutrition for Health Alliance. *Malnutrition among Older People in the Community: Policy Recommendations for Change*. European Nutrition for Health Alliance: London, UK, 2006.
- 13 Nikkel LE, Fox EJ, Black KP, Davis C, Andersen L, Hollenbeak CS. Impact of comorbidities on hospitalization costs following hip fracture. *J Bone Joint Surg Am* 2012; **94**: 9–17.
- 14 Yaxley A, Miller MD. The challenge of appropriate identification and treatment of starvation, sarcopenia, and cachexia: a survey of Australian dietitians. *J Nutr Metab* 2011; **2011**: 603161.
- 15 Drummond MF, Sculpher MJ, Torrance GW, O'Brien BJ, Stoddart GL. *Methods for the Economic Evaluation of Health Care Programmes*. 3rd edn. (Oxford University Press: Oxford, UK, 2005).
- 16 Peterson LE, Goodman C, Karnes EK, Chen CJ, Schwartz JA. Assessment of the quality of cost analysis literature in physical therapy. *Phys Ther* 2009; **89**: 733–755.
- 17 Gold MR, Siegel JE, Russell LB, Weinstein MC (eds) *Cost-Effectiveness in Health and Medicine*. Oxford University Press: New York, USA, 1996.

- 18 Commonwealth Department of Health and Ageing. *Guidelines for the Pharmaceutical Industry on Preparation of Submissions to the Pharmaceutical Benefits Advisory Committee: Including Major Submissions Involving Economic Analyses*. Commonwealth of Australia: Canberra, Australia, 2002.
- 19 National Institute for Health and Clinical Excellence. *Guide to the Methods of Technology Appraisal*. National Institute for Health and Clinical Excellence: London, UK, 2008.
- 20 Torrance GW. Utility approach to measuring health-related quality of life. *J Chronic Dis* 1987; **40**: 593–600.
- 21 Richardson J, Atherton Day N, Peacock S, Iezzi A. Measurement of the quality of life for economic evaluation and the assessment of Quality of Life (AQoL) Mark 2 Instrument. *Aust Econ Rev* 2004; **37**: 62–88.
- 22 Neelemaat F, Bosmans JE, Thijs A, Seidell JC, van Bokhorst-de van der Schueren MAE. Oral nutritional support in malnourished elderly decreases functional limitations with no extra costs. *Clin Nutr* 2012; **31**: 183–190.
- 23 Norman K, Pirlich M, Smoliner C, Kilbert A, Schulzke JD, Ockenga J et al. Cost-effectiveness of a 3-month intervention with oral nutritional supplements in disease-related malnutrition: a randomised controlled pilot study. *Eur J Clin Nutr* 2011; **65**: 735–742.
- 24 Pham B, Stern A, Chen W, Sander B, John-Baptiste A, Thein H et al. Preventing pressure ulcers in long-term care: a cost-effectiveness analysis. *Arch Intern Med* 2011; **171**: 1839–1847.
- 25 Freijer K, Nuijten MJC, Schols JMGA. The budget impact of oral nutritional supplements for disease related malnutrition in elderly in the community setting. *Front Pharmacol* 2012; **3**: 78.
- 26 Freijer K, Nuijten MJC. Analysis of the health economic impact of medical nutrition in the Netherlands. *Eur J Clin Nutr* 2010; **64**: 1229–1234.
- 27 Edington J, Barnes R, Bryan F, Dupree E, Frost G, Hickson M et al. A prospective randomised controlled trial of nutritional supplementation in malnourished elderly in the community: clinical and health economic outcomes. *Clin Nutr* 2004; **23**: 195–204.
- 28 Harris AH, Hill SR, Chin G, Li JJ, Walkom E. The role of value for money in public insurance coverage decisions for drugs in Australia: a retrospective analysis 1994–2004. *Med Decis Making* 2008; **28**: 713–722.
- 29 Hoogendoorn M, Van Wetering CR, Schols AM, Rutten-van Mülken MPMH. Is INTERdisciplinary COMMunity-based COPD management (INTERCOM) cost-effective? *Eur Respir J* 2010; **35**: 79–87.
- 30 Dangour AD, Albala C, Allen E, Grundy E, Walker DG, Aedo C et al. Effect of a nutrition supplement and physical activity program on pneumonia and walking capacity in Chilean older people: a factorial cluster randomized trial. *PLOS Med* 2011; **8**: e1001023.
- 31 Simmons SF, Zhuo X, Keeler E. Cost-effectiveness of nutrition interventions in nursing home residents: a pilot intervention. *J Nutr Health Aging* 2010; **14**: 367–372.
- 32 Kruizenga HM, Van Tulder MW, Seidell JC, Thijs A, Ader HJ, Van Bokhorst-de Van der Schueren MA. Effectiveness and cost-effectiveness of early screening and treatment of malnourished patients. *Am J Clin Nutr* 2005; **82**: 1082–1089.
- 33 Rypkema G, Adang E, Dicke H, Naber T, de Swart B, Disselhorst L et al. Cost-effectiveness of an interdisciplinary intervention in geriatric inpatients to prevent malnutrition. *J Nutr Health Aging* 2003; **8**: 122–127.
- 34 Russell CA. The impact of malnutrition on healthcare costs and economic considerations for the use of oral nutritional supplements. *Clinical Nutrition, Supplements* 2007; **2**: 25–32.
- 35 Smedley F, Bowling T, James M, Stokes E, Goodger C, O'Connor O et al. Randomized clinical trial of the effects of preoperative and postoperative oral nutritional supplements on clinical course and cost of care. *Br J Surg* 2004; **91**: 983–990.
- 36 Lawson RM, Doshi MK, Barton JR, Cobden I. The effect of unselected post-operative nutritional supplementation on nutrition status and clinical outcome of orthopaedic patients. *Clin Nutr* 2003; **22**: 39–46.
- 37 Lorefält B, Andersson A, Wirehn AB, Wilhelmsson S. Nutritional status and health care costs for the elderly living in municipal residential homes—an intervention study. *J Nutr Health Aging* 2011; **15**: 92–97.
- 38 Tucker HN, Miguel SG. Cost containment through nutrition intervention. *Nutr Rev* 1996; **54**: 111–121.
- 39 Brown J. Economic evaluation of health care. In: Bowling A, Ebrahim S (eds.) *Handbook of Health Research Methods: Investigation, Measurement, and Analysis*. Open University Press: Maidenhead, UK, 2008, pp 314–328.
- 40 Darmon P, Lochs H, Pichard C. Economic impact and quality of life as endpoints of nutritional therapy. *Curr Opin Clin Nutr* 2008; **11**: 452–458.
- 41 Wyers CE, Breedveld-Peters JJ, Reijnen PL, Van Helden S, Guldmond NA, Severens JL et al. Efficacy and cost-effectiveness of nutritional intervention in elderly after hip fracture: design of a randomized controlled trial. *BMC Public Health* 2010; **10**: 212.
- 42 Thomas SK, Humphreys KJ, Miller MD, Cameron ID, Whitehead C, Kurlle S et al. Individual nutrition therapy and exercise regime: a controlled trial of injured, vulnerable elderly (INTERACTIVE trial). *BMC Geriatrics* 2008; **8**: 4.

Supplementary Information accompanies this paper on European Journal of Clinical Nutrition website (<http://www.nature.com/ejcn>)

Copyrights and Use Restrictions.

Copyrigh